Okazaki fragment maturation involves α-segment error editing by the mammalian FEN1/MutSα functional complex

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Abstract

During nuclear DNA replication, proofreading-deficient DNA polymerase α (Pol α) initiates Okazaki fragment synthesis with lower fidelity than bulk replication by proofreading-proficient Pol δ or Pol ε. Here, we provide evidence that the exonuclease activity of mammalian flap endonuclease (FEN1) excises Pol α replication errors in a MutSα-dependent, MutLδ-independent mismatch repair process we call Pol α-segment error editing (AEE). We show that MSH2 interacts with FEN1 and facilitates its nuclease activity to remove mismatches near the 5′ ends of DNA substrates. Mouse cells and mice encoding FEN1 mutations display AEE deficiency, a strong mutator phenotype, enhanced cellular transformation, and increased cancer susceptibility. The results identify a novel role for FEN1 in a specialized mismatch repair pathway and a new cancer etiological mechanism.

Keywords: DNA mismatch repair; flap endonuclease 1; MutSα; Okazaki fragment maturation; α-segment error editing

Subject Categories: DNA Replication, Repair & Recombination

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Introduction

During replication of the eukaryotic nuclear genome, most of the nascent leading and lagging strands are synthesized by DNA polymerase ε (Pol ε) and Pol δ, respectively. These replicases are highly accurate, partly because their intrinsic 3′-exonucleases can proofread the rare mismatches they generate. In contrast, the third nuclear replicase, Pol α, lacks an intrinsic proofreading exonuclease (EXO) activity and is tenfold to 100-fold less accurate (Kunkel, 2009). Pol α’s role in replication is to initiate Okazaki fragments by extending primers synthesized by its associated RNA primase. Thus, any mismatches made by Pol α will be near the 5′ ends of Okazaki fragments, in what can be called the α-segment. Genetic evidence suggests that some mismatches generated by Pol α are proofread by the EXO activity of Pol δ (Pavlov et al, 2006). Theoretically, mismatches generated by Pol α that escape proofreading could be removed during Pol δ-catalyzed strand displacement synthesis associated with normal Okazaki fragment maturation (OFM) (Burgers, 2009; Zheng & Shen, 2011; Balakrishnan & Bambara, 2013). However, studies of yeast strains encoding variant alleles of Pol α (Niimi et al, 2004; Nick McElhinny et al, 2008, 2010) indicate that OFM alone does not remove all mismatches from the α-segment. In fact, the most recent studies indicate that despite Okazaki fragment processing, DNA synthesized by Pol α is retained in vivo and DNA-binding proteins including histones and transcription factors that rapidly re-associate, post-replication, act as partial barriers to Pol-δ-mediated displacement of the α-segment, resulting in increased mutation rates in the region (Clausen et al, 2015; Daigaku et al, 2015; Koh et al, 2015; Reijns et al, 2015). Pol α variant strains have mild mutator phenotypes, resulting from DNA replication errors generated by Pol α, and their mutation rates are synergistically increased by loss of MSH2-dependent mismatch repair (MMR). Thus, MSH2-dependent MMR in yeast plays a major role in correcting mismatches in the α-segment.

How is this done? A recent study (Liberti et al, 2013) indicated that some mismatches generated by yeast Pol α are excised by Exonuclease 1 (EXO1), a 5′ exonuclease involved in MMR (Tishkoff et al, 1997b; Wei et al, 2003). Interestingly, the loss of MMR resulting from deleting EXO1 is mild compared to the loss of MMR from deleting MSH2, implying the existence of a MSH2-dependent but EXO1-independent mechanism that removes mismatches from the α-segment. This mechanism could be the same as the mechanism used to repair more internal mismatches generated by Pols ε and δ. In addition, a non-exclusive possibility was previously suggested for mismatches generated by Pol α near the 5′ end of an Okazaki
fragment, namely FEN1-dependent mismatch removal (Nick McElhinny et al., 2010). This possibility is supported by an earlier genetic study (Johnson et al., 1995), suggesting that yeast FEN1 participates in MMR in yeast, and it is consistent with a biochemical study of mammalian MMR in vitro, providing evidence for EXO1-independent MMR via strand displacement synthesis by Pol δ (Kadyrov et al., 2009). The present study investigates a role for FEN1 in MSH2-dependent removal of mismatches from the θ-segment. We show that FEN1 and MSH2 interact and that FEN1 can remove mismatches from the θ-segment in an in vitro reaction, referred to as the θ-segment error editing (AEE) assay. This action is strongly stimulated by MutSα; FEN1 mutants are defective in the AEE reaction, and these mutations result in a mutator phenotype and increased cellular transformation in mouse cells and increased cancer susceptibility in mice.

Results

Pol α error editing during Okazaki fragment maturation

To identify the protein machinery responsible for editing the θ-segment during OFM, we designed five sets of DNA substrates that mimic the θ-segment (Supplementary Fig S1). The first set (Supplementary Fig S1A) is a pair of gapped-flap substrates starting with an “A” in the three or four nucleotide (nt) single-stranded (ss) DNA region and with or without a “C/T” mismatch in the downstream duplex. C is unique in the entire downstream template sequence. Incorporation of radiolabeled T in the matched substrate is used to monitor normal flap removal, gap filling, and ligation, referred to here as RNA primer removal (RPR). Incorporation of radiolabeled G in the mismatched substrate is used to monitor removal of the flap containing the mismatch, gap filling, and ligation, referred to here as AEE. The second pair of substrates uses the same principle, but contains a T in the ssDNA region and a G/T mismatch in the downstream duplex, with the G also being unique in the entire downstream template sequence. We obtained similar results with both pairs of substrates and use them interchangeably in the experiments below, as indicated in the text, figures, and figure legends. In the second set of substrates (Supplementary Fig S1B), we varied the distance between the first paired nt of the downstream duplex and mismatched nts (indicated as X nt), where X nt is the 3rd, 6th, 12th, or 18th nt. The third set of substrates was designed to test the nuclease activities of EXO1, DNA2 and wild-type (WT) and mutant FEN1. These substrates include one with a 3’ single nt flap and a 40-nt-long 5’ flap, two without a 3’ flap but with different lengths of 5’ flaps (long 5’ flap = 40 nt and short 5’ flap = 5 nt), and one with a nicked duplex DNA without a flap (Supplementary Fig S1C). Set 4 (D1, 2, 3, 4) contains gapped substrates with or without an upstream 3’ flap, and nicked substrates with or without an upstream 3’ flap, used to elucidate the best substrate for the FEN1/MSH2 complex in the removal of Pol α errors (Supplementary Fig S1D). Finally, set five contains substrates that are similar to A1 and A2 but contain an RNA flap (Supplementary Fig S1E). The oligonucleotides used to construct these substrates are listed in Supplementary Table S1.

We performed the RPR assay using the first pair of substrates with or without a downstream mismatch, following a procedure that was previously described (Turchi et al., 1994; Zheng et al., 2007a, 2008). This procedure involves incubating nuclear extracts (NEs) with the indicated DNA substrates and nts. In the RPR assays, the gapped-flap substrate was designed in such a way that the first ss DNA nt is an A. In the reaction mixture, we have included radiolabeled dTTP and the other three non-labeled nts. Therefore, if the flap is cleaved, the gap is filled by polymerization and the nick is sealed to generate an 80-nt-long radiolabeled DNA product; then, we know that all of the functional components necessary for OFM are intact in NEs. Indeed, in the first experiment described in Fig 1, we observed a full length product from the 80 nt DNA fragment and 39–42 nt intermediate products incorporating [α-32P]dCTP, indicating that the NEs had the functional enzymatic components needed for flap removal, gap filling, and nick sealing. When incubated with WT NEs, substrates with or without Pol α errors produced similar amounts of non-ligated (39–42 nt) and ligated product (80 nt) (Fig 1A). However, when we incubated the NEs with the same substrates in the presence of [α-32P]dGTP instead of [α-32P]dCTP, the substrate with the C/T mismatch showed significantly more ligated product compared with the reaction product from the substrate without a mismatch (Fig 1B). Because the C is unique in the entire downstream template sequence, the 80-nt-long product is only generated when the first portion of the downstream nts, up to the mismatched nt, T, is removed and radiolabeled G is incorporated. This experiment was repeated with two similar substrates that contained RNA in the 5’ flap to mimic the RNA primer. We obtained very similar results using the RNA and DNA substrates, as we have previously described (Qiu et al., 1999b) (Supplementary Fig S2). Therefore, in the subsequent in vitro biochemical experiments, we used the DNA flap substrates.

The result in Fig 1B indicates that there is an error editing mechanism triggered by a mismatch. To further test this hypothesis, we radiolabeled the two substrates at the 5’ end of the upstream primer. This allowed visualization of extended “nick translation” products beyond the mismatch. Similar amounts of the ligated product were generated with both of the substrates as long as the RPR reactions were completed (Fig 1C). However, extended gap-filling products beyond the ss DNA region (38–52 nt) appeared in a time-dependent manner for the mismatch substrate only (Fig 1C). This confirms the presence of a mechanism for mismatch editing during OFM.

How far can editing proceed beyond the ssDNA region or the gap? We designed a series of substrates with a “T/C” mismatch at the 3rd, 6th, 12th, and 18th nt from the gap in the downstream duplex, simulating Pol α errors at different positions in the θ-segment. After incubating WT NEs with the four DNA substrates shown in Supplementary Fig S1B in the presence of [α-32P]dATP, we found that overall RPR efficiency was similar (Fig 2A). However, when we incubated NEs with [α-32P]dCTP to assay 5’ end error editing efficiency, there were very large differences among these four substrates in the amount of product generated (Fig 2B). The substrate with a mismatch at the 3rd nt was edited out most efficiently (Fig 2B, lanes 2–5). As the mismatch was located farther from the gap, the editing efficiency decreased (Fig 2B, lanes 6–9) such that little signal was observed when the distance was 12 nts or longer (Fig 2B, lanes 10–13). These results indicated that the OFM machinery primarily edits mismatches within 1–12 nt of the gap.
The concerted flap endonuclease and exonuclease actions of FEN1 are critical for α-segment error editing

Several nuclease, including FEN1, DNA2, and EXO1, have been proposed to play a role in RPR during OFM in yeast and mammalian cells (Waga & Stillman, 1994; Qiu et al, 1999a; Bae et al, 2001a), but the nuclease responsible for AEE has not been identified. Using the established RPR and AEE assays with purified proteins (Supplementary Fig S3), we have determined the efficiency of each of the nuclease in the RPR and AEE reactions (Fig 3). We found that DNA2 was not able to complete the RPR reaction to produce the ligated products, as it was not able to completely remove the ss flap. With the residual flap left over, the ligase was not able to complete the ligation portion of the RPR reaction (Fig 3A lane 5 and Fig 3B lanes 7–10). In addition, DNA2 was not able to remove the embedded mismatches due to its lack of EXO activity on the double-stranded DNA duplex. It completely failed to perform the AEE reaction (Fig 3C lane 5 and Fig 3D lanes 7–10). On the other hand, the major function of EXO1 is to remove the mismatches from the DNA duplex, but it has little capacity to remove the flap. Therefore, as expected, EXO1 failed to produce any RPR or AEE products (Fig 3A and C, lane 6, Fig 3B and D, lanes 11–14). FEN1 has both flap endonuclease (FEN) and EXO activities and was able to efficiently generate both the RPR and AEE products (Fig 3A and C, lane 4, Fig 3B and D, lanes 3–6). We used nuclease activity assays to show that all three enzymes are active with their standard substrates (Supplementary Fig S1C and Supplementary Fig S4).

To determine the role and molecular mechanism of FEN1 in AEE, we employed two FEN1 mutants previously identified in cancer cells, E160D and A159V (Zheng et al, 2007b). We characterized their nuclease activity profiles using four standard substrates designed based on a series of publications regarding the FEN1 substrates (Kao et al, 2002) (Supplementary Figs S1C and S5). These substrates included a duplex double-flap DNA with a 3’ single nt flap and a 40-nt-long 5’ flap, two substrates without the 3’ flap but with different lengths of 5’ flaps (long 5’ flap = 40 nt and short 5’ flap = 5 nt), and one nicked duplex DNA without any flap. The E160D mutant retained 100% of WT double-flap endonuclease activity (Supplementary Fig S5A). However, its activity was approximately 50% and 45%, respectively, for cleaving the single-flap substrate with a ss flap of 40 and 5 nts in length (Supplementary Fig S5B and C), and no activity was observed with a nicked DNA substrate (Supplementary Fig S5D). In contrast, the A159V mutant retained approximately 65% of WT activity with the double-flap substrate, but had no activity with the other three substrates (Supplementary Fig S5E–H).

To test whether the different degrees of defect in the mutant activity profiles affect α-segment repair efficiency and disease susceptibility in vivo, we generated a mouse model carrying the...
FEN1 point mutation A159V. We constructed the FEN1 A159V mouse using a gene targeting approach (Supplementary Fig S6A), as previously described for creation of the FEN1 E160D mouse line (Zheng et al., 2007b). The genotype of the A159V mouse was confirmed by Southern blotting and DNA sequence analysis (Supplementary Fig S6B and C). No live homozygous FEN1 A159V mice were obtained. All homozygous FEN1 A159V embryos died before the E9.5 stage. Heterozygous FEN1 A159V mutant mice were viable. In the RPR assays, WT and WT/A159V NEs from mouse embryonic fibroblast (MEF) cells were incubated with the substrate with a downstream mismatch in the presence of [α-32P]dTTP for the RPR assay. The amount of ligated product for A159V was similar to that of WT or E160D, indicating that the A159V mutation did not affect the RPR efficiency (Fig 4A). However, when we incubated the WT and WT/A159V NEs with the substrate in the presence of [α-32P]dCTP (AEE), a condition where the radioactivity would only be incorporated when the mismatched nt is excised, the WT/A159V NE only produced about half of the ligated product compared with the WT or E160D NEs (Fig 4B). In addition, we reconstituted the RPR and AEE assays with the purified WT and mutant FEN1 enzymes and found that E160D was able to complete the RPR process by efficiently cleaving the 5' flap, whereas A159V failed to do so (Fig 4C). On the other hand, neither A159V nor E160D was able to complete the AEE reactions (Fig 4D), indicating that it is the concerted actions of the flap endonuclease and EXO activities that complete the AEE functional pathway.

**MSH2 physically interacts with FEN1 and stimulates its α-segment error editing function**

To identify the protein interaction partners for FEN1 in AEE, we previously pulled down FEN1 and its associated proteins from synchronized S-phase HeLa cells. Using mass spectrometry analyses, we identified that one of the dominant bands in the silver-stained gel as MSH2 (Guo et al., 2012). This was consistent with the finding that the Pol α-dependent mutation rate is strongly elevated when MSH2 is deleted in yeast (Kunkel, 2004; Niimi et al., 2004; Li, 2008; Liberti et al., 2013) and indicates that the MutSα complex may be involved in AEE in vivo. To determine whether FEN1 plays a role in the editing pathway through the recruitment of the MutSα complex, we pulled down FEN1 with an antibody against FEN1 and detected MSH2 by Western blotting analysis of HeLa cell extracts. MSH2 and its known associated proteins such as MSH6 were specifically pulled down with FEN1, using an antibody against FEN1, but not using a non-specific mouse IgG (Fig 5A). In addition, we co-expressed Myc-FEN1 and FLAG-MSH2 in 293T cell lines and immunoprecipitated (IPed) using an anti-FLAG antibody and found that Myc-FEN1 was co-IPed only from cells overexpressing FLAG-MSH2 (Fig 5B). To validate whether FEN1 interacts directly with MSH2, purified recombinant FEN1 or MSH2 was individually immobilized onto CNBR-Sepharose 4B beads (Guo et al., 2008). The purified MSH2 or FEN1 or BSA was reciprocally applied to the columns. We were able to detect the presence of MSH2 in the eluate from the FEN1 column, and we detected FEN1 in the eluate from the MSH2 column (Fig 5C and D), suggesting a direct interaction between FEN1 and MSH2.

To further investigate the role of MutSα in the error editing pathway, we radiolabeled the gapped-flap substrates with or without a mismatch in the downstream duplex at the 3' end of the flap strand. This allowed visualization of products remaining after cleavage. Incubation of FEN1 with substrates with or without the mismatch...
generated similar amounts of product (38 nt) (Fig 5E). In the presence of MutSx, the cleavage reaction proceeded slightly faster. However, with the mismatch-containing substrate at the 8th position in the downstream duplex region, extended exonuclease cleavage products (20–32 nt) were observed. Those products were not in the reactions with the mismatch-free substrate or in the absence of MutSx (Fig 5E). The presence of MutSx increased the production of the extended exonuclease products by twofold to threefold. These results may indicate that MutSx recognizes the mismatch and facilitates the processivity of the mismatch removal reaction. To further examine the contribution of MutSx to the editing pathway, we immunodepleted MSH2 from HeLa NEs as previously described (Zheng et al., 2008). The success of immunodepletion was confirmed by Western blotting analysis. We assayed the RPR and AEE efficiency using the mismatch-containing flap substrate and the depleted NEs. We found that MSH2 depletion did not affect the RPR reaction efficiency. However, it significantly decreased the efficiency of AEE (Fig 5F). Furthermore, when we reconstituted the RPR and AEE reactions with purified MutSx (Fig 6), MutSx was found to increase the AEE reaction efficiency by at least 25-fold, but did not affect the RPR reaction efficiency (Fig 6A lanes 8 and 12).

A previous study showed that the presence of the 3' flap and its interaction with FEN1 can stimulate both the flap endonuclease and EXO activities by several fold (Finger et al., 2009). To further explore the mechanism by which MutSx facilitates the processivity and efficiency of the FEN1 EXO activity to remove the mismatch, we used an additional set of the substrates (Supplementary Fig S1D), including gapped substrates with or without an upstream 3' flap, and nicked substrates with or without an upstream 3' flap. We observed
that the nicked substrate with a 3’ flap was the best substrate for the MutSα stimulation (Fig 7A).

An additional question is as follows: How does FEN1 interact with the 3’ flap and remove the nearby nt as well as the mismatched nt as far as in the 8th position downstream of the 3’ flap (Fig 7A)? Does MutSα facilitate such an action by looping out the single-stranded DNA in the template strand while FEN1 sequentially removes newly synthesized DNA? To address these questions, we built a model of a FEN1/MutSα/DNA complex using ZDOCK software (Pierce et al., 2014). For docking, we used the FEN1 [PDB code 3qbk (Tsutakawa et al., 2011)] and MutSα [PDB code 3thx (Warren et al., 2007; Gupta et al., 2012)] X-ray crystallographic structures in complexes with DNA. The best model was selected from a total of 40,000 initial complex models with their distinct consensus contact scores (Fig 7B). The consensus contact scores were calculated based on two criteria: (i) interaction of the FEN1 helical region with MutSα protein: FEN1’s helical region, with amino acid residues from 245 to 252, which was previously identified to interact with the downstream DNA duplex (Tsutakawa et al., 2011) (now where the mismatch is) and may interact with MutSα protein, and (ii) the interaction between proteins and DNA substrates: The ends of the DNA molecules bound to the two proteins, respectively, should be as close as possible. In the established model, residues of FEN1 in the regions of K244-H253 and V260-Y268 interact directly with the DNA while it loops out the template strand.

![Figure 7](https://example.com/figure7.png)
**Figure 5.** MSH2 physically interacts with FEN1 and stimulates its AEE function.

A Co-immunoprecipitation (IP) of endogenous FEN1 with MSH2 in 293T cells. GAPDH was used as the internal control.

B Detection of FEN1 and MSH2 in 293T cells. GAPDH was used as the internal control.

C, D Detection of FEN1 after pull-down with MSH2 (C) and detection of MSH2 after pull-down with FEN1 (D). Both FEN1 and MSH2 used in the experiments are the purified recombinant tagged proteins.

E MutSα stimulates FEN1-extended exonuclease (EXO) activity in the presence of a mismatch-containing substrate. A total of 500 fmol of FEN1 was incubated with 1 pmol of 3'-labeled flab DNA substrate with or without 100 fmol of MutSα. Reactions were carried out at 37°C for 5, 10, 15, and 20 min (lanes 6, 11, 16, and 21). Lanes 11–16 show the reactions with substrate A1 and FEN1 without MutSα; lanes 18–21 show the reactions with substrate A2, FEN1, and MutSα; lane 1 shows the molecular weight markers; lanes 2, 7, 12, and 17 show the reactions without enzyme. The bottom panel shows the quantification of the cleavage products from three independent experiments. Values are means ± SD.

F AEE assay with the substrate A4 and [α-32P]dGTP incorporation to show AEE by buffer alone, control NEs incubated with IgG (IgG), and NEs depleted of MSH2 (MSH2). One microgram of the NE was incubated with 500 fmol of the gap substrates containing a 6-nt DNA flap at 37°C for 20 min (lanes 2, 5, and 8), 40 (lanes 3, 6, and 9), and 60 (lanes 4, 7, and 10) min. All reactions were carried out in a buffer containing 5 μCi [α-32P]dGTP and 50 μM dATP, dCTP, and dTTP. Numbers at the bottom of the panels are the lane numbers for the various reactions. Lig. Prod.: ligation product.

Source data are available online for this figure.
To determine whether the A159V mutation promotes tumorigenesis, we evaluated the cellular transformation frequency using WT/A159V cells (Fig 9B and Supplementary Fig S8A). We compared the number of colonies formed by WT/A159V cells to those formed by WT cells. The number of colonies formed by WT/A159V cells was approximately 93-fold higher than that of the WT strain (approximately 60%). NEs from heterozygous A159V MEFs catalyzed the RPR and AEE reactions at approximately 50% efficiency, whereas in the reconstituted reactions, the mutant protein completely failed to catalyze either RPR or AEE. The yeast A157V increased the mutation rate by 100%, whereas in the reconstituted reactions, the mutant enzyme had 100% of the WT double-flap endonuclease activity to a significant degree (approximately 60%). NEs from heterozygous A159V MEFs catalyzed the RPR and AEE reactions at approximately 50% efficiency, whereas in the reconstituted reactions, the mutant protein completely failed to catalyze either RPR or AEE. The yeast A157V increased the mutation rate by 100%, whereas in the reconstituted reactions, the mutant enzyme had 100% of the WT double-flap endonuclease activity to a significant degree (approximately 60%).

Biological consequences of deficiency in the FEN1/MutSα functional complex

Finally, we asked whether deficiency in AEE has biological consequences. We chose the A159V FEN1 mutation as a tool to answer such a question. We first transformed the human WT and A159V FEN1 mutant genes, which were present in the yeast expression vector pRS, into a RAD27 KO strain (RRK2608) and measured mutation rates. We found that the mutation rate of the mutant strain was approximately 93-fold higher than that of the WT strain (Fig 9A). Accumulation of mutations usually causes tumorigenesis. To determine whether the A159V mutation promotes tumorigenesis, we evaluated the cellular transformation frequency using WT/A159V primary MEF cells (Fig 9B and Supplementary Fig S8A and B). The number of colonies formed by WT/A159V cells was 4.75-fold higher than the number formed in WT cells. To determine whether A159V mutation increases tumorigenesis, we constructed and characterized a WT/A159V mouse model. Among 77 WT/A159V mice examined between 18 and 22 months of age, 41 mice developed lung tumors and another 15 mice had other types of tumors in various organs. This combined 72% spontaneous cancer incidence is substantially higher than the incidence in WT mice, where only 5 of the 31 WT mice (16%) developed lung tumors (Fig 9C and Supplementary Fig S9A–G). Therefore, we conclude that the A159V mutation is associated with increased cancer susceptibility.

To further examine the consequences of the rad27-A159V allele on AEE and genome stability, we introduced the homologous yeast rad27-A157V and rad27-E158D mutations into the yeast genome and analyzed mutagenesis using the CAN1 reporter gene. We also analyzed the distribution of duplication mutations and characteristic of aberrant OFM and of other types of mutations, including base substitutions, frame shifts, and complex mutations (Supplementary Table S2). These data were compared to the corresponding nuclease activity profiles and the RPR and AEE reaction efficiencies that were observed using NEs or after reconstitution (Figs 4 and 6 and Supplementary Fig S5). The A159V mutation eliminated both the single-flap endonuclease and nick-specific EXO activities but retained the double-flap endonuclease activity to a significant degree (approximately 60%). NEs from heterozygous A159V MEFs catalyzed the RPR and AEE reactions at approximately 50% efficiency, whereas in the reconstituted reactions, the mutant protein completely failed to catalyze either RPR or AEE. The yeast A157V increased the mutation rate by 61-fold. The mutation spectrum contained 85% duplication mutations, as is characterizedly seen in rad27 null mutants (Tischkoff et al., 1997a), with the remainder of the spectrum being single-base mutations, consistent with loss of AEE. In comparison, the E160D mutant enzyme had 100% of the WT double-flap endonuclease activity, but it lost the nick-specific EXO activity completely. In the reconstitution reactions for RPR and AEE, it had
Figure 7. The “best substrate” for AEE and model for interactions among MutSα, FEN1, and DNA substrates.

A. AEE reactions with four different substrates. 500 fmol of FEN1 was incubated with 1 pmol of 3'-labeled DNA substrates with or without 100 fmol of MutSα. Reactions were carried out at 37°C for 10 min (lanes 3, 6, 9, 12, 15, 18, 21, and 24), 20 min (lanes 4, 7, 10, 13, 16, 19, 22, and 25), and 40 min (lanes 5, 8, 11, 14, 17, 20, 23, and 26). Lanes 3–8 show the reactions with substrate D1 and FEN1 with or without MutSα; lanes 9–14 show the reactions with substrate D2 and FEN1 with or without MutSα; lanes 15–20 show the reactions with substrate D3 and FEN1 with or without MutSα; lanes 21–26 show the reactions with substrate D4 and FEN1 with or without MutSα. Lane 1 shows molecular weight markers; lane 2 shows the reaction with substrate D1 without enzyme. Numbers at the bottom of the panels are the lane numbers for the various reactions. Sub.: substrate; Prod.: product. Values are mean ± SD of three independent assays.

B. The FEN1/MutSα/DNA complex model was built using ZDOCK software. The FEN1 (PDB code 3q8k) and MutSα structures (PDB code 3thx) used for protein–protein docking are from X-ray crystallographic structures in complex with DNA molecules. Two views are shown of the FEN1/MutSα/DNA complex model. The FEN1 protein is colored dark red, the DNA molecules are colored blue, MSH2 in the MutSα complex is colored cyan, and MSH6 is colored brown. FEN1 directly interacts with MSH2 according to the model. The model structure can be accessed via http://proteopedia.org/wiki/index.php/User_talk:Hongzhi_Li.

C. Interaction region of FEN1 (cyan-colored) to MSH2 (magenta-colored). The residues of MSH2 that are within 4 Å of FEN1 are colored blue. The residues of FEN1 that are within 4 Å of MSH2 are shown in red. The four contacting residues, K252 and R262 in FEN1, and D502 and E529 in MSH2, are labeled at their positions. The MSH6 and DNA molecules are colored brown and yellow, respectively.

Source data are available online for this figure.
nearly WT levels of RPR but failed AEE (Fig 6). A corresponding mutation in yeast, E158D, exhibited an approximately 23-fold increase in base substitutions, indels and complex mutations, which accounted for 100% of the mutations. Although the duplications are most likely due to loss of the flap endonuclease activities (RPR), the point mutations are consistent with the reduced FEN-1 EXO activity for AEE (Supplementary Table S2).

Discussion

The current study provides evidence for the existence of a FEN1/MutS\alpha-dependent but EXO1-independent and MLH1-independent mechanism that removes mismatches from the \( \alpha \)-segment. The results suggest that the concerted action of endonucleolytic cleavage of displaced primers and exonucleolytic removal of mismatches in the \( \alpha \)-segment by FEN1 partly contributes to high-fidelity replication for the portion of the genome that is synthesized by Pol \( \alpha \), because it initiates new DNA chains at origins and on the lagging strand. The actions of FEN1 are coordinated and facilitated by the MutS\alpha-mediated recognition of a mismatch in the DNA duplex downstream from the 5’ nick or gap. MutS\alpha not only recognizes the mismatched base in the substrate but also recruits FEN1 and increases its processivity toward the mismatch via the interaction. Without MSH2, the AEE reaction efficiency is significantly reduced. It is important that neither DNA2 (Bae et al., 2001a) nor EXO1 (Qiu et al., 1999a) was able to replace the function of FEN1 in our AEE reconstitution assays. DNA2, in complex with RPA, is only able to bind and cleave a displaced long flap, leaving a short flap for FEN1 to further process (Bae & Seo, 2000; Bae et al., 2001a,b). By itself, DNA2 would not be able to excise the mismatched base pairs in a DNA duplex. On the other hand, EXO1 is able to remove the nts from the
5′ end but has weak activity for flap cleavage and lacks the protein–protein interaction capacity necessary for a specific functional complex. The other critical difference between the errors incorporated by Pol α and Pol δ/ε is that Pol α-incorporated errors are in the α-segment and mostly within or near the flap during OFM. The 5′ end editing of the α-segment is tightly coordinated with flap cleavage and the joining of Okazaki fragments. These features suggest that FEN1-mediated 5′ end editing proceeds only to mismatches within a limited distance from the flap, in order to avoid a delay in OFM. Repair of the mismatch by the reconstituted purified DNA replication proteins showed that the mismatches at 3–6 nt away from the nick were effectively edited, but those at 12 nt or 18 nt away were not processed via the FEN1-mediated pathway and are more likely to be processed by the traditional MMR pathway. These findings are consistent with a recent report suggesting that lagging-strand replication shapes the mutational landscape of the genome (Reijns et al., 2015).

The AEE mechanism proposed here is advantageous because it effectively removes replication errors while concomitantly maturing Okazaki fragments, which is a rate-limiting step in DNA replication. However, if a mutation occurs in AEE components that deregulates the process and leads to constitutive editing and futile cycles, it could affect DNA ligation and result in spontaneous DNA strand breaks and consequently, various forms of chromosome aberrations. Such a mutation would be similar to the FFAA FEN1 mutation, which specifically disrupts the FEN1/PCNA interaction (Zheng et al., 2007a). The mutation results in DNA strand breaks and aneuploidy, which are hallmarks of human cancer (Zheng et al., 2011, 2012).

We have separated the OFM process into two steps that can be assayed in vitro: RPR and AEE (Fig 9D). Even though FEN1 drives both reactions, it has different catalytic modes. In RPR, FEN1 acts in the flap endonuclease mode, whereas in AEE, FEN1 acts in the EXO mode. As previously discussed, the former activity is essential
A159V is severely defective in double-flap FEN activity and completely deficient in both single-flap FEN and EXO activities. However, the adjacent E160D mutation largely retains FEN activity but is deficient in EXO activity. This explains why the homozygous A159V mutant mouse is embryonically lethal, in sharp contrast to the E160D mutant mouse (Zheng et al., 2007b). This difference also leads us to predict that FEN1 exonuclease-deficient mutants that retain sufficient flap endonuclease activity will be defective in AEE but not in RPR. FEN1-E160D is such a mutation. It eliminates 90% of the EXO activity, which is important for AEE, but retains the flap endonuclease activity critical for RPR (Zheng et al., 2007b). The homologous yeast rad27-E158D mutant was a mutator for base substitutions, frame shift and complex mutations, which may be repaired by FEN1-mediated AEE. The corresponding E160D MEF cells have a proliferation rate similar to WT MEFs, but display high rates of base substitutions. Mutant mice bearing the E160D FEN1 mutation grow and develop as normally as their WT littermates, but develop lung cancer at relatively early ages (Zheng et al., 2007b). The mouse studies thus support the concept that defects in AEE are associated with increased cancer susceptibility.

Materials and Methods

Co-IP and pull-down

In a standard co-IP assay, a non-specific mouse IgG or a mouse monoclonal antibody against hFEN1 (Gene Tex, catalogue# GTX 70185) was conjugated to protein A/G agarose beads. The beads were incubated with NEs of 293T cells (ATCC, catalogue# CRL-11268) and benzonase nuclease (EMD Millipore) overnight at 4°C and washed with PBS buffer containing 0.2% Tween-20. The hMSH2 that was associated with beads was analyzed by Western blotting immunodetection using a rabbit polyclonal antibody against hMSH2 (Abcam, catalogue# ab70270). To pull down the recombinant hFEN1 with recombinant hMSH2, purified hMSH2 or BSA was coated onto CNBR-Sepharose 4B beads and incubated (4°C, overnight) with purified recombinant FEN1 as previously described (Lin et al., 2013). The beads were then washed and boiled at 95°C in SDS–PAGE sample buffer for Western blotting. The experiment was repeated in a reciprocal manner.

Protein purification and nuclease activity assays

We followed previously published protocols to express and purify recombinant hFEN1 and the A159V and E160D mutant proteins (Shen et al., 1996), DNA2 (Ronchi et al., 2013), EXO1 (Zhang et al., 2005), PCNA (Frank et al., 2001), and MutSβ (Zhang et al., 2005) and Pol α complexes (Zhou et al., 2013) (see Supplementary Fig S3). FLAG-tagged MSH2 was purified using an anti-FLAG antibody. The presence of MSH6 was confirmed by Western blotting using an anti-MSH6 antibody (Abcam, catalogue# ab137457). Recombinant Ligase 1 was purchased from Origene Technologies, Inc. The 32P-labeled flap DNA substrates C1, 2, 3, and 4 were prepared using the oligonucleotides listed in Supplementary Table S1, as described previously (Zheng et al., 2005). The nuclease activity assays were also set up following an established protocol (Zheng et al., 2007a). Briefly, the indicated amount of FEN1 protein and the MutSβ complex was incubated with 500 fmol of substrates for varying time periods. Reactions were carried out in a total volume of 10 μl at 37°C and analyzed by denaturing 15% PAGE. The products were visualized by autoradiography and quantified using ImageJ.

RPR assay

NEs from MEF cells were prepared as previously described (Zheng et al., 2005). RPR reactions were reconstituted using mixtures of purified proteins or assayed with NEs using the gapped DNA substrates with DNA flaps and a mismatch in the downstream DNA duplex, as shown in Supplementary Fig S1 (A1 or A2). NEs (1 μg) from MEF cells with various genotypes, or purified recombinant proteins, as indicated in the figure legends, were incubated with the DNA substrates (300 fmol) in the reaction buffer (50 mM HEPES-KOH (pH 7.5), 45 mM KCl, 5 mM MgCl2, 1 mM DTT, 0.1 mM EDTA, 2 mM ATP, 2 unit creatine phosphokinase, 0.5 mM NAD and 5 mM phosphocreatine) containing 5 μCi [α-32P] dTTP or [α-32P] dATP and 50 μM each of the other three dNTPs. The reactions were carried out at 37°C, and the products were analyzed by 15% denaturing PAGE and autoradiography.

AEE assay

The reaction steps for gap filling, primer cleavage, and DNA ligation reactions were assayed by the nick translation reaction with the oligonucleotide-assembled substrates that mimic the OFM intermediates, following a modified version of published protocols (Zheng et al., 2007a). Briefly, purified proteins or NEs were incubated with specific gapped substrates for varying time intervals in the same reaction buffer as used in the RPR assay, containing 5 μCi [α-32P] dGTP and 50 μM each of dATP, dCTP, and dTTP or 5 μCi [α-32P] dCTP and 50 μM each of dATP, dGTP, and dTTP.

Mismatch repair assay

In vitro MMR assays were performed essentially as described (Zhang et al., 2005) with some modifications. A circular DNA substrate (25 fmol) containing a G-T mismatch and a nick 5’ to the mismatch (Supplementary Fig S7) was incubated with 75 μg of whole-cell extracts at 37°C for 15 min. DNA samples were recovered by ethanol precipitation and digested with the restriction endonucleases Nsil (repair scoring enzyme), PstI, and BglI. After electrophoresis through a 6% polyacrylamide gel, the DNA products were subjected to Southern blot analysis to identify repaired and unrepaired molecules using [α-32P]-labeled oligonucleotide probes complementary to the nicked DNA strand.

siRNA knockdown

Stealth siRNA oligos against human FEN1 (catalogue# FEN1HS103627), EXO1 (catalogue# 10620319), MLH1 (catalogue# 119549), or the control siRNA oligos (catalogue# 12935-112) were purchased from Life Technologies. MSH2 catalogue# SIO2663563 was from Qiagen. siRNA oligos were transfected into HeLa cells (ATCC, catalogue# CCL2) using the Lipofectamine 2000 transfection reagent (Life Technologies) according to the instructions from
Invitrogen. The knockdown efficiency was verified by Western blotting analysis.

**Immunodepletion of human MSH2**

To immunodeplete hMSH2, 100 μg of a HeLa NE was incubated with protein A agarose beads (100 μl), which were coated with rabbit polyclonal antibodies to hMSH2 (Abcam, catalogue# ab70270), in the NE buffer at 4°C for 8 h. After a brief centrifugation step, the supernatant was analyzed by Western blot analysis to confirm depletion efficiency.

**Generation of an A159V mutant mouse and MEF cell culture**

A159V mice were generated according to a protocol that was previously described (Zheng et al., 2007b) and is described in Supplementary Fig S6. WT and WT/A159V mutant mice (129S1 strain) of both genders were in-line bred, housed, and cared for in the City of Hope Animal Resource Center. MEFs were isolated from WT/A159V or WT mice (129S1 genetic background) on the 13th day of embryonic development (E13). The embryos were disassociated in trypsin to produce MEF cells. Primary MEF cells were plated onto a 10-cm dish at 37°C in a 5% CO₂ incubator. Dulbecco’s modified Eagle’s medium (Invitrogen), supplemented with 10% fetal bovine serum (Invitrogen) and penicillin-streptomycin (Invitrogen), was used to culture the cells.

**Yeast strains, genetic manipulation, mutation rate, and mutation spectrum analysis**

The WT (RDKY2672) and isogenic RAD27 deletion strains (MATa, ura3-52, his3Δ200, trp1Δ63, leu2Δ1, ade2Δ1, ade8, lys2-Bgl, hom3-10) were gifts from the Kolodner laboratory (Tishkoff et al., 1997b). To express human FEN1 and the A159V mutant in the RAD27 deletion strain (Ard27), a DNA fragment encoding the FEN1 protein was subcloned into the pRS314 plasmid. The pRS314 or pRS314-hFEN-1 vector was transformed into Ard27 yeast. The A157V and E158D RAD27 mutations were knocked into the yeast RDKY2672 genome following a published protocol (Nick McElhinnny et al., 2010). Analysis of the Can¹ mutation rate and mutation spectrum was carried out as previously described (Tishkoff et al., 1997b).

**Cell transformation assay**

The focus formation assays were conducted according to a previously established protocol (Sweasy et al., 2005). Primary MEF cells were seeded onto a 10-cm dish. After 3 passages, 10⁵ cells were seeded onto a 10-cm dish and incubated for 20–30 days until colonies appeared. The cells then were fixed with methanol and stained with Giemsa solution, and the number of spontaneous colonies was scored under a microscope (ZEISS, Axiovert 135).

**Cancer incidence analysis**

The nQuery software for statistical analysis was used to estimate the sample size. A minimum of 44 mice for each group was shown to be required to detect a 30% difference in lifespan and cancer phenotypes when compared with the control group with 80% power and 95% confidence based on a previous study (Zheng et al., 2007b). WT and WT/A159V mutant mice (male and female) were randomly selected for determination of the cancer incidence. To determine the lung cancer incidence, lung tissues of mice (18–22 months old) were fixed in 10% formalin and tissue sections were stained with hematoxylin and eosin (H & E). Slides were analyzed in a blinded fashion. All protocols that involved animals were approved by the Institutional Animal Care and Use Committee of City of Hope in compliance with the Public Health Service Policy of the United States and all other federal, state, and local regulations. All aspects of the animal study were adequately reported following the NIH guidelines.

**Supplementary information** for this article is available online: http://emboj.embopress.org

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**Author contributions**

SL has prepared many of the materials used in the assays and performed most of biochemical and cell biological assays. GL designed and prepared RPR and AEE DNA substrates and did preliminary biochemical experiments with NEs. LZ designed many of experiments and supervised both SL and GL for the biochemical and cell biological experiments as well as the transgenic mouse analysis. HD is in charge of generation and maintenance of the transgenic mice as well as of the cell culture work. WL and SA contributed to the biochemical assays and data processing. HL built the docking model of FEN1 and MSH2. HX and YH helped to purify various recombinant proteins used in the biochemical assays. YZ prepared purified and active DNA polymerase 6 complex and supervised the reconstitution experiments. JO and GML performed the MMR and mutagenesis assays. TAK participated in initial experiment designs and manuscript writing. BS is responsible for overall experiment design and implementation, data interpretation, and manuscript writing.

**Conflict of interest**

The authors declare that they have no conflict of interest.

**References**


